

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	3795942
<b>Application Number:</b>	10026359
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	8067
<b>Title of Invention:</b>	Method and system for computer-implemented trading of secondary market debt securities
<b>First Named Inventor/Applicant Name:</b>	Brijesh Agarwal
<b>Customer Number:</b>	28089
<b>Filer:</b>	Irah Donner/Trennie Snyder
<b>Filer Authorized By:</b>	Irah Donner
<b>Attorney Docket Number:</b>	1497210.00123US1
<b>Receipt Date:</b>	18-AUG-2008
<b>Filing Date:</b>	24-DEC-2001
<b>Time Stamp:</b>	15:54:45
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 1310
RAM confirmation Number	1099
Deposit Account	080219
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		1497210-123US1_Amendment 081808.pdf	151117	yes	22
			0ef31c611c5901952e5620bf9c15f89eb98d85c4		
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment - After Non-Final Rejection		1	1	
	Claims		2	13	
	Applicant Arguments/Remarks Made in an Amendment		14	22	
Warnings:					
Information:					
2	Extension of Time	1497210-123US1_Extension_Ti me081808.pdf	118075	no	1
			f86ca39d1d487f860f96d1920e8c03e54b29120c		
Warnings:					
Information:					
3	Letter Requesting Interview with Examiner	1497210-123US1_Interview_re quest081808.pdf	106563	no	1
			44d34f03803e0041bb7228bc9dee7bb40f6da8d8		
Warnings:					
Information:					
4	Fee Worksheet (PTO-06)	fee-info.pdf	31998	no	2
			6ff8a9327525e178eea7813ccb695303cc8a0dc1		
Warnings:					
Information:					
Total Files Size (in bytes):			407753		

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**